

On the Cohomology of Operator Algebras

A. CONNES

*Institut des Hautes Etudes Scientifiques, Bures-sur-Yvette, France,
Université Paris VI, France*

Communicated by I. Segal

Received November 17, 1976

Any amenable C^* algebra is nuclear. Injective von Neumann algebras are characterized by the vanishing of their cohomology with coefficients in dual normal Banach bimodules.

INTRODUCTION

As in [1] or [3], a Banach algebra A is called amenable when any norm continuous derivation δ of A in a dual Banach A -bimodule is a coboundary. In [5, 7.9, 7.6], Johnson showed that any C^* algebra A which is an inductive limit of type I C^* algebras is amenable as a Banach algebra. He left open the existence of nonamenable C^* algebras. We show below that any amenable C^* algebra is also nuclear. It is very likely that any nuclear C^* algebra is amenable but we leave this question open.

In their work on the cohomology of operator algebras [6–8], Johnson, Kadison, and Ringrose introduced the notion of *normal* dual Banach M -bimodule, where M is a von Neumann algebra. They showed that any von Neumann algebra M which is generated by an increasing sequence of finite-dimensional $*$ algebras has the following property: Any derivation δ of M in a *normal* dual Banach M -bimodule is a coboundary.

We shall prove the converse: If M is a von Neumann algebra with separable predual and all (continuous) derivations of M in *normal* dual Banach bimodules are coboundaries, then M is generated by an increasing sequence of finite-dimensional $*$ algebras. This class also coincides with the class of injective or of semidiscrete von Neumann algebras [3]. It seems that “amenable” is the best terminology to qualify this class.

We now fix the notation for the sequel of the paper. Let A be a Banach algebra. Then a Banach space X which is also an A -bimodule is called a Banach A -bimodule when for some $K > 0$ one has $\|a\xi b\|_X \leq K\|a\|\|\xi\|_X\|b\|$ for all $a, b \in A, \xi \in X$.

If furthermore X is (isometric to) the dual of a Banach space X_* and for each $a \in A$ the operators $\xi \rightarrow a\xi, \xi \rightarrow \xi a$ of X in X are $\sigma(X, X_*)$ continuous, then X is called a *dual* Banach A -bimodule.

Now if M is a von Neumann algebra and X is a dual Banach M bimodule, one says that X is normal when for any $\xi \in X$ and $\eta \in X_*$ the functionals $x \in M \rightarrow \langle \eta, x\xi \rangle$ or $\langle \eta, \xi x \rangle$ are normal functionals on M .

Since von Neumann algebras are in general not norm separable the requirement of normalcy for M -bimodules is a necessity to avoid pathological phenomena.

Let A be a Banach algebra, X a Banach A -bimodule. Then a derivation δ of A in X is a continuous linear map of A in X such that $\delta(ab) = \delta(a)b + a\delta(b), \forall a, b \in A$.

We say that a von Neumann algebra is *amenable* when all derivations of M in *normal* dual Banach M -bimodules are coboundaries. By [6, Theorem 5.6], this is the same as asking that all *normal* derivations (i.e., $\eta \circ \delta$ is normal for any $\eta \in X_*$) be coboundaries.

THEOREM 1. *Let M be a von Neumann algebra with separable predual. Then M is amenable if and only if it is injective.*

COROLLARY 2. *Let A be a separable C^* algebra which is amenable as a Banach algebra; then A is nuclear.*

Proof of the corollary. Using [2], to show that A is nuclear, one just needs to show that any representation π of A in a Hilbert space \mathcal{H}_π generates an injective von Neumann algebra. By [4], one can assume that \mathcal{H}_π is separable. Then let $M = \pi(A)''$ and X a dual normal Banach M -bimodule. Then any normal derivation δ of M in X defines by composition with π a derivation of A in X . Since A is amenable and X is a dual Banach A -bimodule one gets that $\delta \cdot \pi$ is a coboundary, and δ , being normal, is also a coboundary.

Proof of injective \Rightarrow amenable. By [3], any injective von Neumann algebra M with separable predual is the weak closure of an increasing sequence of finite-dimensional $*$ algebras. Hence the result of Johnson *et al.* [6, Corollary 6.4, p. 95] gives the implication.

Proof of amenable \Rightarrow injective. In this proof we shall not make use of the hypothesis M_* separable.

We first assume that M is semifinite, and let τ be a faithful semi-finite normal trace on M . Let M act in a Hilbert space \mathcal{H} . We say that an operator $T \in \mathcal{L}(\mathcal{H})$ is of τ -finite rank when its initial and final supports are majorized by projections $e, f \in M$ with $\tau(e) < \infty, \tau(f) < \infty$.

Since the sup of projections $e, f \in M$ with $\tau(e) < \infty, \tau(f) < \infty$ also satisfies

$\tau(e \vee f) < \infty$, the set \mathcal{F} of τ -finite rank operators is an M submodule of $\mathcal{L}(\mathcal{H})$.

For $x \in M$, put $\|x\|_2 = \tau(x^*x)^{1/2}$. (One can have $\|x\|_2 = +\infty$.) Let Y be the space of all linear functionals φ on \mathcal{F} with $\exists K > 0, |\varphi(aTb)| \leq K \|a\|_2 \|T\|_\infty \|b\|_2, \forall a, b \in M \cap \mathcal{F}, T \in \mathcal{F}$. The smallest possible K is noted $\|\varphi\|_Y$ and defines a norm on Y . The corresponding unit ball of Y is compact for the weak topology $\sigma(Y, \mathcal{F})$, so Y is a Banach space and is the dual of some Banach space Y_* in which \mathcal{F} is dense.

For $\varphi \in Y, x, y \in M$, define $x\varphi y \in Y$ by

$$x\varphi y(T) = \varphi(yTx) \quad \forall T \in \mathcal{F},$$

then, since $\|ya\|_2 \leq \|y\|_\infty \|a\|_2, \|bx\|_2 \leq \|b\|_2 \|x\|_\infty$ for $a, b \in M \cap \mathcal{F}$, it follows that $\|x\varphi y\|_Y \leq \|x\|_\infty \|y\|_\infty \|\varphi\|_Y$. Hence Y is a Banach M bimodule. For $x \in M$ the map $\varphi \rightarrow x\varphi$ from Y to Y is $\sigma(Y, \mathcal{F})$ continuous because \mathcal{F} is an M -bimodule. So Y is a dual Banach M -bimodule. Finally for fixed $T \in \mathcal{F}$ and $\varphi \in Y$ the map $x \in M \rightarrow \varphi(xT)$ is normal, because letting $e \in M$ be a projection, with $\tau(e) < \infty$, majorizing the two supports of T , one has

$$|\varphi(xT)| = |\varphi(xeTe)| \leq \|xe\|_2 \|T\|_\infty \|e\|_2.$$

So Y is a dual normal M -bimodule.

Let X be the submodule of Y defined as follows:

$$X = \{\varphi \in Y, \varphi(x) = 0 \forall x \in M \cap \mathcal{F}\}.$$

It is a submodule because $M \cap \mathcal{F}$ is an M -submodule of \mathcal{F} . It is $\sigma(Y, \mathcal{F})$ closed in Y by construction. Hence it is also a dual normal Banach M -bimodule.

Now since the trace τ on M is normal, there exists in \mathcal{H} a family $(\xi_\alpha)_{\alpha \in I}$ of unit vectors $\|\xi_\alpha\| = 1$, such that, for any $x \in M \cap \mathcal{F}: \tau(x) = \sum_{\alpha \in I} \langle x\xi_\alpha, \xi_\alpha \rangle$, where $\sum |\langle x\xi_\alpha, \xi_\alpha \rangle| < \infty$.

For any $T \in \mathcal{F}$ there is a projection $e \in M, \tau(e) < \infty$ such that, with $T = T_1 + iT_2$, one has $-\|T_j\|e \leq T_j \leq \|T_j\|e, j = 1, 2$. Hence the sum $\sum_{\alpha \in I} |\langle T\xi_\alpha, \xi_\alpha \rangle|$ is convergent and

$$\tilde{\tau}(T) = \sum_{\alpha \in I} \langle T\xi_\alpha, \xi_\alpha \rangle$$

defines a linear functional on \mathcal{F} satisfying

- (a) $\tilde{\tau}(T) \geq 0, \forall T \geq 0, T \in \mathcal{F}$,
- (b) $\tilde{\tau}(x) = \tau(x), \forall x \in M \cap \mathcal{F}$.

As by construction \mathcal{F} is a $*$ subalgebra of $\mathcal{L}(\mathcal{H})$ one deduces from (a) that

$|\tilde{\tau}(T^*_1 T_2)|^2 \leq \tilde{\tau}(T^*_1 T_1) \tilde{\tau}(T^*_2 T_2), \forall T_1, T_2 \in \mathcal{F}$. For $T \in \mathcal{F}, a, b \in M \cap \mathcal{F}$, let $T^*_1 = aT, T_2 = b$; then

$$|\tilde{\tau}(aTb)|^2 \leq \tilde{\tau}(aTT^*a^*) \tilde{\tau}(b^*b) \leq \tilde{\tau}(aa^*) \|T\|_\infty^2 \tilde{\tau}(b^*b)$$

because by construction of $\tilde{\tau}$ one has $\tilde{\tau}(aTT^*a^*) = \sum \|T^*a^*\xi_\alpha\|^2 \leq \|T\|_\infty^2 \sum \|a^*\xi_\alpha\|^2 = \|T\|_\infty^2 \sum \|a^*\xi_\alpha\|^2 = \|T\|_\infty^2 \tilde{\tau}(aa^*)$. Hence by (b), $|\tilde{\tau}(aTb)| \leq \|a\|_2 \|b\|_2 \|T\|_\infty$. Thus $\tilde{\tau} \in Y, \|\tilde{\tau}\|_Y \leq 1$.

Now let δ be the derivation of M in X defined by

$$\delta(x) = x\tilde{\tau} - \tilde{\tau}x \quad \forall x \in M.$$

One has $\delta(x) \in X$ because $\tilde{\tau}(xy - yx) = \tau(xy - yx) = 0$ for any $y \in M \cap \mathcal{F}$.

One can also check directly that δ is normal. If M is amenable, δ must be a coboundary, so there is a $\varphi \in X$ such that $\delta(x) = x\varphi - \varphi x, \forall x \in M$.

Now $\tilde{\tau} - \varphi = \psi \in Y$ and one has

$$(1^\circ) \quad \tau(x) = \psi(x), \forall x \in M \cap \mathcal{F} \text{ (because } \varphi \in X),$$

$$(2^\circ) \quad x\psi = \psi x, \forall x \in M \text{ (because } x\tilde{\tau} - \tilde{\tau}x = x\varphi - \varphi x).$$

Let e be a projection of $M, \tau(e) < \infty$. Let $\mathcal{K} = e\mathcal{H}$ be the range of e . Then M_e is a finite von Neumann algebra acting in the Hilbert space \mathcal{K} . Since τ is faithful, its restriction τ_1 to M_e is also faithful, and in particular by (1 $^\circ$) the restriction ψ_1 of ψ to $\mathcal{L}(\mathcal{K}) = \{T \in \mathcal{L}(\mathcal{K}), eT = Te = T\}$ is nonzero and satisfies (2 $^\circ$): $x\psi_1 = \psi_1 x$ for any x in M_e .

Replacing ψ_1 by $\frac{1}{2}(\psi_1 + \psi^*_1)$ does not affect (2 $^\circ$) and (1 $^\circ$): $\psi_1(x) = \tau_1(x)$ for any $x \in M_e$. So one can assume that $\psi_1 = \psi^*_1$ as an element of $\mathcal{L}(\mathcal{K})^*$. Writing its unique Jordan decomposition $\psi_1 = \psi^+ - \psi^-$, with $\|\psi^+\| + \|\psi^-\| = \|\psi_1\|$ one gets:

$$(\alpha) \quad \psi^+(x) \geq \tau_1(x), \forall x \in M_e, x \geq 0.$$

$$(\beta) \quad \psi^+(xT) = \psi^+(Tx), \forall T \in \mathcal{L}(\mathcal{K}), \forall x \in M_e.$$

Now let $K = \|\psi^+\|$, we shall prove that for any $a_1, \dots, a_n, b_1, \dots, b_n \in P = M_e$ one has:

$$\left| \tau_1 \left(\sum a_j b_j^* \right) \right| \leq K \left\| \sum a_j \otimes b_j^c \right\|_{\mathcal{K} \otimes \mathcal{K}^c},$$

where \mathcal{K}^c is the conjugate Hilbert space of \mathcal{K} .

In fact, let $\varphi_1 = (1/K)\psi^+ \in \mathcal{L}(\mathcal{K})^*$. Then, as φ_1 is a weak limit of normal states on $\mathcal{L}(\mathcal{K})$, there is for any $\epsilon > 0$, a normal state φ on $\mathcal{L}(\mathcal{K})$ such that

$$\|b_j\varphi - \varphi b_j\| \leq \epsilon, \quad j = 1, \dots, n, \quad \left| \varphi \left(\sum a_j b_j^* \right) - \varphi_1 \left(\sum a_j b_j^* \right) \right| \leq \epsilon.$$

Hence there exists, for each $\epsilon > 0$, a Hilbert–Schmidt operator ρ in \mathcal{K} with $\|\rho\|_{\text{HS}} = 1, \|\rho b_j - b_j \rho\|_{\text{HS}} \leq \epsilon$ and:

$$\left\| \sum a_j \rho b_j^* \right\|_{\text{HS}} \geq \left| \varphi_1 \left(\sum a_j b_j^* \right) \right| - \epsilon.$$

But the canonical identification of $\mathcal{K} \otimes \mathcal{K}^c$ with the Hilbert–Schmidt operators of \mathcal{K} intertwines $a \otimes b^c$ with the operator $\rho \rightarrow a \rho b^*$, so we have shown that $|\varphi_1(\sum a_j b_j^*)| \leq \|\sum a_j \otimes b_j^c\|$. Now we know that the linear functional ψ_0 on $P \circ P^c$, defined by $\psi_0(\sum a_i \otimes b_i^c) = \tau_1(\sum a_i b_i^*)$ is continuous for the minimal norm of the tensor product [2]. Letting P act in the Hilbert space \mathcal{X}_1 of the Gelfand–Segal construction of τ_1 with canonical vector ξ_1 and involution J , one gets:

$$\left| \left\langle \sum a_i J b_i J \xi_1, \xi_1 \right\rangle \right| \leq K \left\| \sum a_i \otimes J b_i J \right\|_{\min}.$$

It follows then from the cyclicity of ξ_1 for P that the canonical homomorphism η of $P \circ P'$ in $\mathcal{L}(\mathcal{X}_1)$ is bounded. Then by [4] we know that P is semidiscrete and hence injective. It follows that M itself is injective.

THE GENERAL CASE

Since the finite case is already treated it is easy to see that we can assume M to be properly infinite.

Let then $(N, (\theta_t)_{t \in \mathbb{R}})$ be a continuous decomposition of M [9], where N is semifinite and M is isomorphic to the cross product of N by the one parameter group $(\theta_t)_{t \in \mathbb{R}}$ of automorphisms of N . We just have to show that if M is amenable then N is amenable. In fact, then N is injective and so is M by [3].

But by construction N is generated by a von Neumann subalgebra P isomorphic to M and a one parameter group of unitaries $(v_s)_{s \in \mathbb{R}}$ such that $v_s P v_s^* = P, \forall s \in \mathbb{R}$. Now let X be a dual normal Banach N -bimodule. Then it is also a P bimodule. So given a normal derivation δ of N in X we can assume that $\delta(x) = 0, \forall x \in P$, since P is amenable. For each $s \in \mathbb{R}$, let $\xi_s = v_s \delta(v_s^{-1})$. As, for $y \in P$, one has $y v_s \delta(v_s^{-1}) = v_s (v_s^{-1} y v_s) \delta(v_s^{-1}) = v_s \delta(v_s^{-1} y) = v_s \delta(v_s^{-1}) y$ we get $y \xi_s = \xi_s y, s \in \mathbb{R}, y \in P$. Also, for any $t \in \mathbb{R}$ and $s \in \mathbb{R}: v_t \xi_s = v_{t+s} \delta(v_{t+s}^{-1} v_t) = (v_{t+s} \delta(v_{t+s}^{-1})) v_t + \delta(v_t)$.

As X is a dual of a Banach space X_* , let ξ be a $\sigma(X, X_*)$ limit point of the $(1/F\#) \sum_F \xi_s$, where F runs through a summing family for \mathbb{R} as a discrete amenable group. Then by the above equality one gets $v_t \xi = \xi v_t + \delta(v_t)$, for any $t \in \mathbb{R}$, and $y \xi = \xi y, \forall y \in P$. Q.E.D.

REFERENCES

1. F. F. BONSALL AND J. DUNCAN, "Complete Normed Algebras," *Ergebnisse der Mathematik und ihrer Grenzgebiete*, Band 80.
2. M. D. CHOI AND E. EFFROS, "Nuclear C^* Algebras," Preprint.
3. A. CONNES, Classification of injective factors, *Ann. of Math.* **103** (1976), 73–115.
4. E. EFFROS AND C. LANCE, Tensor products of operator algebras, Preprint.
5. B. JOHNSON, Cohomology in Banach algebras, *Mem. Amer. Math. Soc.* **127** (1972).
6. B. JOHNSON, R. V. KADISON, AND J. RINGROSE, Cohomology of operator algebras, III, *Bull. Soc. Math. France* **100** (1972), 73–96.
7. R. V. KADISON AND J. RINGROSE, Cohomology of operator algebras. I. Type I von Neumann algebra, *Acta Math.* **126** (1971).
8. R. V. KADISON AND J. RINGROSE, Cohomology of operator algebras, II, *Ark. Math.* **9** (1971).
9. M. TAKESAKI, Duality in cross products and the structure of von Neumann algebras of type III, *Acta Math.* **131** (1973), 249–310.