

A FACTOR OF TYPE II_1 WITH COUNTABLE FUNDAMENTAL GROUP

A. CONNES

INTRODUCTION. Let M be a factor, $\text{Out}M = \text{Aut}M/\text{Int}M$ will denote the quotient of the group of automorphisms of M by the normal subgroup of inner automorphisms. For $M = R$, the hyperfinite factor of type II_1 , the group $\text{Out}R$ is very large, in fact it contains any locally compact, separable group and is however simple as an abstract group. If M is of type II_1 and has no non trivial central sequences, then $\text{Int}M$ is a closed subgroup of $\text{Aut}M$, when $\text{Aut}M$ is endowed with the topology of pointwise norm convergence in M_* (its predual), and then $\text{Out}M$ inherits a topology which makes it into a Polish group. So in order to show that $\text{Out}M$ is countable, it is enough to show that it is discrete. The next result is the first rigidity result pertaining to the theory of operator algebras.

THEOREM. *Let Γ be a countable discrete group with infinite conjugacy classes, satisfying property T of Kazhdan, then $M = \lambda(\Gamma)''$ is a factor of type II_1 such that*

1°) *$\text{Int}M$ is closed in $\text{Aut}M$ (for the topology of norm pointwise convergence in M_*).*

2°) *$\text{Out}M$ is a discrete group.*

COROLLARY. *$\text{Out}M$ is countable.*

Proof (of Corollary). Since $\text{Out}M$ is a Polish topological space it has a dense countable subset, so being discrete it is countable.

Proof of 1°). Let M act in $l^2(\Gamma)$, and let J be the canonical antilinear involution, such that $J\xi_0 = \xi_0$, $Jx\xi_0 = x^*\xi_0 \forall x \in M$, where ξ_0 is the vector associated to the neutral element of Γ (i.e. $\xi_0(g) = 0$ if $g \neq e$ and $\xi_0(e) = 1$, if we consider ξ_0 as a function on Γ). For each $x \in M$, $JxJ \in M'$, the commutant of M ; moreover the map $x \rightarrow JxJ$ is an antilinear isomorphism of M onto M' . Let λ be the left regular representation of Γ in $l^2(\Gamma)$, so for $g \in \Gamma$, $\lambda(g)$ is the operator of left translation

by g ; one has $\lambda(g) \in M$, and the equality $\rho(g) = \lambda(g)J\lambda(g)J$ defines a representation of Γ in $l^2(\Gamma)$.

By hypothesis the non-trivial conjugacy classes of Γ are infinite and Γ satisfies the Kazhdan property: ([3])

There exists a finite subset $F \subset \Gamma$ and an $\varepsilon > 0$, so that for any unitary representation π of Γ , if there exists $\xi \in H_\pi$ such that

$$\|\xi\| = 1, \|\pi(g)\xi - \xi\| \leq \varepsilon \quad \forall g \in F$$

then there exists $\zeta' \in H_\pi$, $\pi(g)\zeta' = \zeta' \quad \forall g \in F$.

Now let π be the restriction of ρ to the orthogonal H_π of ξ_0 in $l^2(\Gamma)$. If $(x_n)_{n \in \mathbb{N}}$ is a central sequence in M , with $\tau(x_n) = 0$, one has $\zeta_n = x_n \xi_0 \in H_\pi$ and $\pi(g)\zeta_n = \rho(g)x_n \xi_0 = \lambda(g)x_n \lambda(g)^* \xi_0$ so that $\|\pi(g)\zeta_n - \zeta_n\| \rightarrow 0 \quad \forall g \in \Gamma$. Now since M is a factor the equality $\pi(g)\xi = \xi \quad \forall g \in \Gamma$ implies that $\xi = 0$, thus one concludes from the above that $\|\zeta_n\| \rightarrow 0$, i.e. all central sequences are trivial and hence $\text{Int}M$ is closed in $\text{Aut}M$ (cf. [2]). Q.E.D.

Proof of 2°. We shall construct a neighborhood \mathcal{V} of the identity $\text{id} \in \text{Aut}M$ so that $\mathcal{V} \subset \text{Int}M$. This will show that $\text{Int}M$ is open and hence ends the proof. We take:

$$\mathcal{V} = \{\theta \in \text{Aut}M, \|\theta(\lambda(g))J\lambda(g)J\xi_0 - \xi_0\| < \varepsilon \quad \forall g \in F\}.$$

\mathcal{V} is open by construction, the only point is to show that it consists only of inner automorphisms. But notice that $\pi'(g) = \theta(\lambda(g))J\lambda(g)J$ defines a representation of Γ in $l^2(\Gamma)$, and hence by property T , there exists ζ so that:

$$\zeta \neq 0, \theta(\lambda(g))J\lambda(g)J\zeta = \zeta, \quad \forall g \in \Gamma$$

thus

$$\theta(\lambda(g))\zeta - J\lambda(g)^*J\zeta = 0 \quad \forall g \in \Gamma.$$

This means that $\theta(x)\zeta - Jx^*J\zeta = 0 \quad \forall x \in M$. By [1] this shows that $\theta \in \text{Int}M$. Q.E.D.

COROLLARY. *The fundamental group $\mathfrak{S}(M)$ is countable. So there exist a denumerable subgroup D of \mathbb{R}_+^* and factors $M_\lambda, \lambda \notin D$ which are of type II_1 pairwise non isomorphic but such that all $M_\lambda \otimes F_\infty$ are isomorphic to $M \otimes F_\infty$ where F_∞ is a factor of type I_∞ .*

Proof. The discrete group $\Gamma \times \Gamma$ has property T because Γ has it, so $\text{Out}(M \otimes M)$ is countable. Let $\theta_\lambda \in \text{Aut}(M \otimes F_\infty)$ with module λ then $\theta_\lambda \otimes \theta_\lambda^{-1}$ has module 1 and hence gives rise to a well defined automorphism class $\alpha_\lambda \in \text{Out}(M \otimes M)$. For $\lambda_1 \neq \lambda_2, \theta_{\lambda_1}\theta_{\lambda_2}^{-1}$ is outer, so $\alpha_{\lambda_1} \neq \alpha_{\lambda_2}$. Q.E.D.

REFERENCES

1. CONNES, A., Outer conjugacy classes of automorphisms of factors, *Ann. Sci. École Norm. Sup.*, **8** (1975), 383–419.
2. CONNES, A., Almost periodic states and factors of type III_1 , *J. Functional Analysis*, **16** (1974), 415–445.
3. KAZHDAN, D. A., Connection of the dual space of a group with the structure of its closed subgroups, *Functional Anal. Appl.*, **1** (1967), 63–65.
4. MURRAY, F. J.; VON NEUMANN, J., On rings of operators. IV, *Ann. of Math.*, **44** (1943), 716–808.

ALAIN CONNES

*Département de Mathématiques
Institut des Hautes Études Scientifiques
35, route de Chartres
91440 Bures sur Yvette
France*

Received March 20, 1980.